



国家药品监督管理局医疗器械技术审评中心
CENTER FOR MEDICAL DEVICE EVALUATION, NMPA

Introduction to review points for decision-making medical device software using deep learning technology

PENG Liang, PhD, Deputy Division Director
Center for Medical Device Evaluation, NMPA, CHINA

2019.9

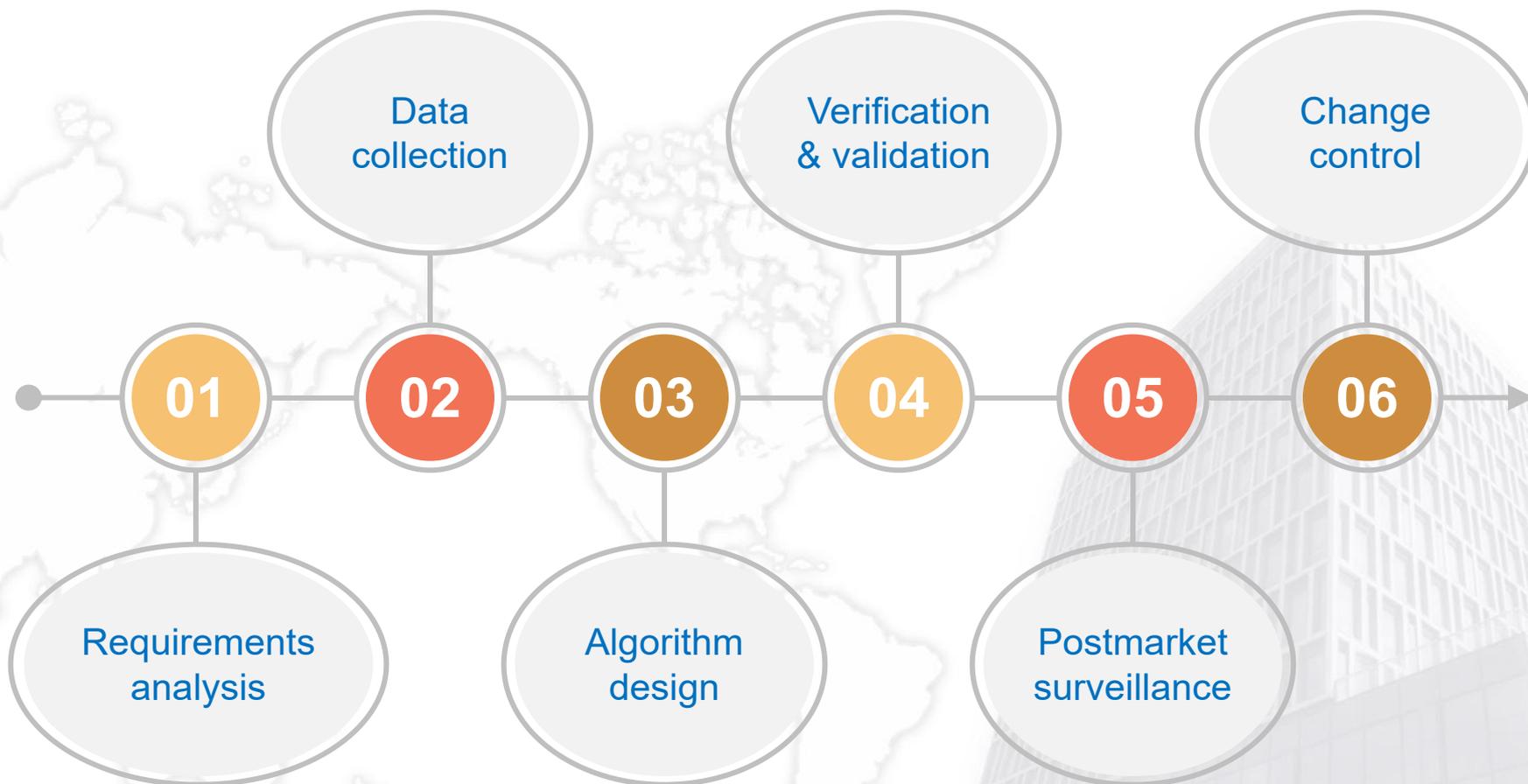
- Understanding of AI medical device
- Introduction to the review points
- Next work

- AI means an algorithm based on data/knowledge and computing capability in nature
 - Deep learning, a subset of AI, is the end-to-end blackbox algorithm based on big data and high computing capability
- AI medical device is the medical device using AI technologies, that can be:
 - AI-SaMD: smart standalone software
 - AI-SiMD: smart device

- Decision-making
 - Assisted screening
 - Assisted detection
 - Assisted diagnosis
 - Assisted therapy
- Non decision-making
 - Process optimization
 - Pre-processing: imaging improvement, etc
 - Post-processing: image segmentation, etc

- General considerations
 - AI Vs. Digital health
 - Technical characteristics Vs. Product features
 - Tradition AI Vs. New generation AI
- Basic principles
 - Focus on the assisted decision-making software using deep learning
 - Risk-based method
 - Total lifecycle management

Total lifecycle management



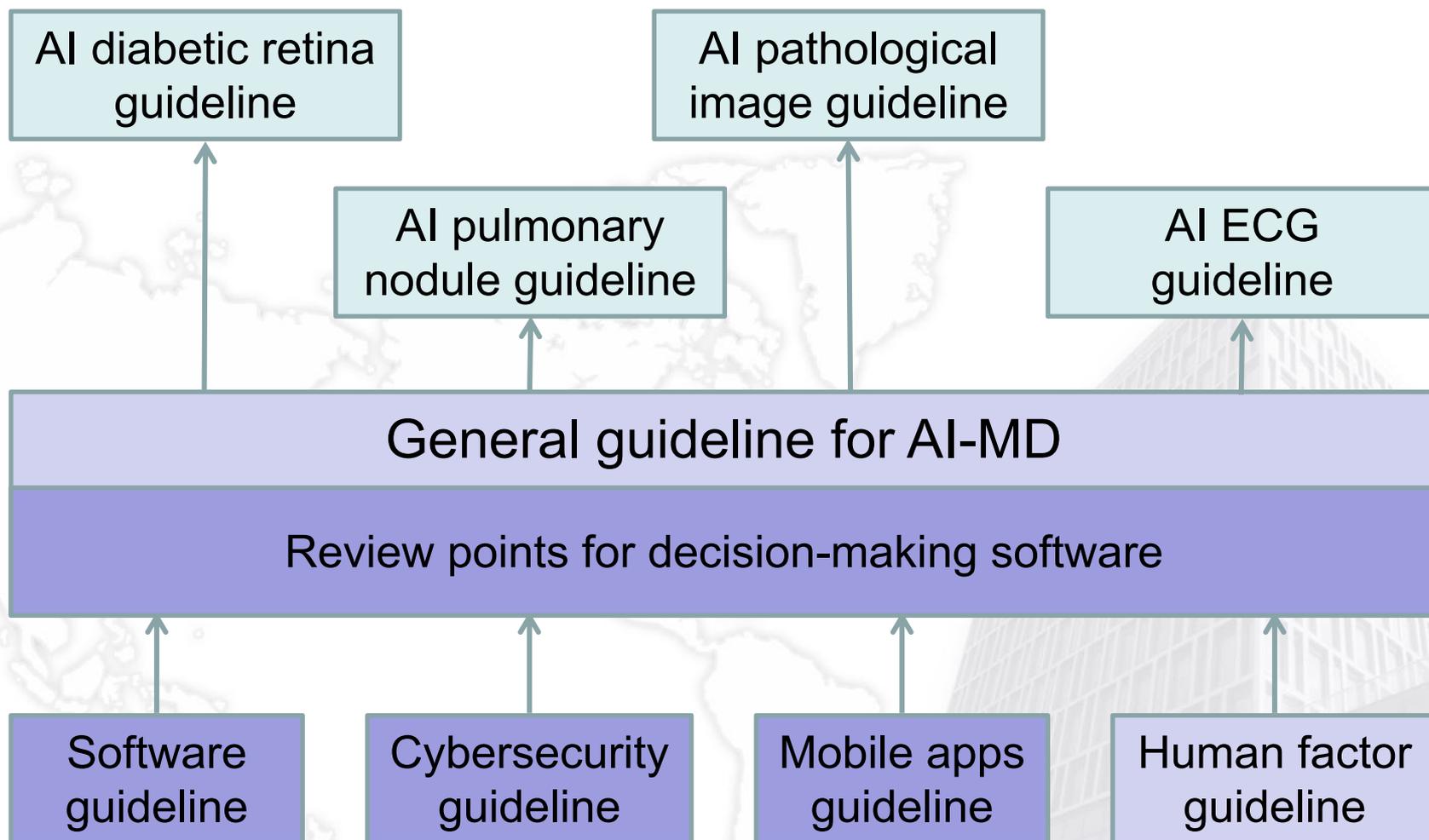
- Requirements analysis
 - Clinical needs and use risk oriented
 - Data diversity, algorithm performance metrics, clinical use limitation
- Data collection
 - Data acquisition, data preparation, data Annotation, dataset construction
- Algorithm design
 - Algorithm selection, algorithm training, cybersecurity capabilities, algorithm performance assessment

- **Verification and validation**
 - Unit test, integration test and system test
 - Clinical evaluation, including clinical trial
- **Postmarket surveillance**
 - Performance, adverse event, etc
- **Change control**
 - Major change: change registration
 - Minor change: controlled by QMS without registration

- Data quality control
 - Data diversity, data annotation, dataset construction
- Algorithm generalization ability
 - Algorithm training, algorithm performance assessment, clinical evaluation
- Risk of clinical use
 - False negative, false positive, human factor/usability
 - Differences of race, epidemiologic features, clinical conditions and guidelines

- Application extension
 - Non decision-making software
 - Tradition AI software
- Third-party database
 - Test database: used for software validation
 - Open database: not used for software validation
- Data security
 - Data anonymization, data backup and recovery, data interface and interoperability

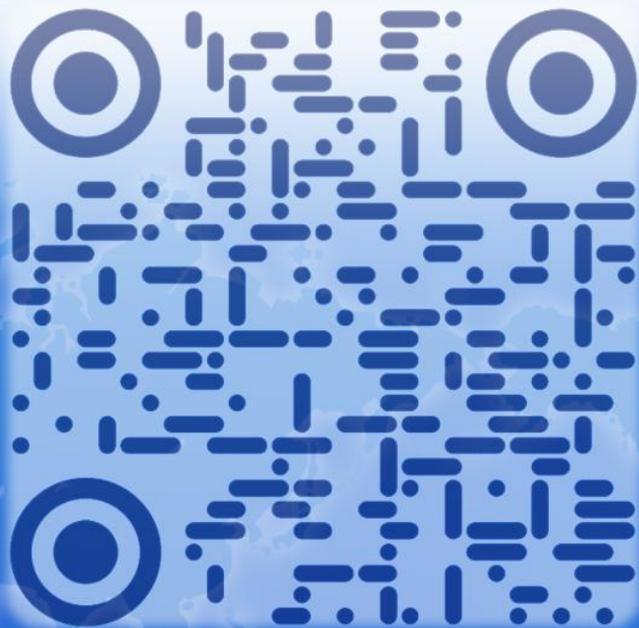
- Product level
 - Multimodality medical device data
 - Medical device data + non medical device data
- Technology level
 - Reinforcement learning
 - Self learning/Unsupervised learning
- Data level
 - Small sample data
 - Weak labelling data
 - Unstructured data





国家药品监督管理局医疗器械技术审评中心
CENTER FOR MEDICAL DEVICE EVALUATION, NMPA

中国器审



*Thank you for
attention!*

WWW.CMDE.ORG.CN